# **Evidence-Based Heart Failure Quality Improvement Programs** & Strategies for Critical Access Hospitals

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This brief is one in a series of policy briefs identifying and assessing evidence-based patient safety and quality improvement interventions appropriate for use by state Flex Programs and Critical Access Hospitals (CAH)s.

#### Introduction

This policy brief focuses on evidence-based heart failure Quality Improvement (QI) programs and strategies that are applicable to CAHs. The Flex Monitoring Team prepared this brief as part of a larger project whose purpose is 1) to identify successful evidencebased quality improvement (QI) programs and strategies related to acute myocardial infarction (AMI), pneumonia, heart failure and surgical care improvement that could be replicated in CAHs and 2) to disseminate information about these programs and strategies to State Flex Programs.

A Performance Monitoring Resource for

## Background

QI programs can encompass a wide range of strategies, and many QI interventions include multiple strategies, which has made it difficult to evaluate their effectiveness. There is a growing awareness that QI strategies need to rest on a strong evidence base, and that greater attention needs to be paid to understanding why particular interventions work and the factors that affect their success in different settings.<sup>1-3</sup>

## Importance to CAHs and the Flex Program

Improving the quality of care provided by CAHs is an important goal of the Medicare Rural Hospital Flexibility (Flex) Program. Throughout the Flex Program, CAHs have implemented a range of QI activities with support from their State Flex Programs, as documented by previous Flex Monitoring Team CAH surveys and case studies.<sup>4-6</sup> Support for QI in CAHs is a core activity area of focus in the current Flex Program Guidance, and the Flex Program has implemented a new special project, the Medicare Beneficiary Quality Improvement Project (MBQIP). MBQIP is focused on Medicare beneficiary health status improvement, and is being implemented in three phases. The first phase that is currently underway is focused on improving inpatient heart failure and

# Key Findings

- Few articles in the peer-reviewed literature evaluate the effectiveness of quality improvement (QI) programs for heart failure specifically for Critical Access Hospitals (CAHs).
- The majority of articles on heart failure QI programs focus on the American Heart Association's *Get* with the Guidelines program and the CMS/Joint Commission heart failure quality measures. Multiple partners collaborated to implement these programs, including Quality Improvement Organizations, State Flex Programs, State Hospital Associations, Universities, the Institute for Healthcare Improvement, the American Heart Association, health systems and other state and local partners.
- The heart failure QI programs primarily focus on: 1) providing evidence-based care to inpatients; 2) engaging patients and families as active partners; and 3) creating processes to ensure a quality handoff.
- Several QI strategies have been shown to improve heart failure care and are potentially replicable in CAHs.

pneumonia measures, which makes it especially important to identify successful QI programs that can be replicated in CAHs.

Heart failure and AMI, along with pneumonia, are among the most common conditions treated in CAHs. Over the last five years, CAHs have improved their overall performance on publicly reported inpatient process of care quality measures for these three conditions, and for surgical care improvement measures. However, CAH performance continues to lag behind that of rural and urban Prospective Payment System hospitals, particularly on the AMI and heart failure measures. In addition, there is considerable variation in quality performance among CAHs, with some hospitals performing well, and others needing much more improvement.

# Approach

We reviewed and synthesized several types of literature on QI programs and strategies, including articles in peer-reviewed healthcare journals and reports from a variety of public and private organizations working on QI issues in hospitals. The focus of this literature review and synthesis was on initiatives to improve care for congestive heart failure or heart failure. We sought to identify programs and strategies that have been successfully implemented in small rural hospitals, as well as other programs and strategies that hold promise for adoption in the small rural hospital environment because the type of resources used to implement them are generally available to CAHs. A literature review on heart failure prepared by the Oklahoma Foundation for Medical Quality was a valuable resource.<sup>7</sup>

To help identify additional QI programs and strategies that have not been documented in the literature, we consulted with members of the Flex Monitoring Team Expert Work Group, including State Flex Coordinators and CAH administrators, and reviewed information from State Flex Grant Applications compiled by the Technical Assistance Services Center (TASC). As needed, we also contacted sponsoring organizations to provide supplemental information on participant characteristics and QI methods and strategies used.

# Results

Numerous studies have demonstrated that specific components of heart failure care can reduce

morbidity and/or mortality.<sup>8-9</sup> Recently, it has been demonstrated that hospitals receiving performance recognition under the American Heart Association's (AHA) "Get With The Guidelines" (GWTG) have modestly lower risk adjusted mortality rates than nonrecognized hospitals.<sup>10</sup> Optimal management of heart failure in older adults is becoming a pressing issue as the population of the U.S. ages.<sup>11</sup> Heart failure is the underlying reason for 12 to 15 million office visits and 6.5 million hospital admissions each year.<sup>12</sup>

The American Heart Association, American College of Cardiology, Joint Commission, Institute of Healthcare Improvement, and the Advisory Committee on Immunization Practices recognize seven key components of heart failure patient care. The components are tailored to the patient's clinical condition and comorbidities and are recommended for all patients with heart failure, in the absence of contraindications or intolerance.<sup>13</sup> The first four components are also addressed in the CMS/Joint Commission heart failure quality measures. The components include:

- Left ventricular systolic (LVS) function assessment
- Angiotensin-converting enzyme (ACE) inhibitor or Angiotensin receptor blockers (ARB) at discharge for heart failure patients with systolic dysfunction
- Smoking cessation advice and counseling<sup>a</sup>
- Discharge instructions that address all of the following: activity level, diet, discharge medications, follow-up appointment, weight monitoring, and what to do if symptoms worsen
- Anticoagulation at discharge for heart failure patients with chronic or recurrent atrial fibrillation
- Influenza immunization (seasonal)<sup>a</sup>
- Pneumococcal immunization<sup>a</sup>

Hospital QI programs to improve heart failure care have primarily focused on three phases of care. The phases include: 1) providing evidence-based medical care to inpatients with heart failure; 2) engaging heart failure patients and their families as active partners in care; and 3) creating reliable processes that ensure a proper handoff to the caregivers who will provide follow-up care.

<sup>a</sup>As of January 2012, CMS retired the Hospital Compare heart failure smoking cessation advice measure. CMS has adopted global influenza and pneumococcal vaccination measures and the Joint Commission has adopted a set of global tobacco cessation measures. These global measures apply to eligible patients with heart failure as well as other medical conditions.

Figure 1 lists tools and resources useful in improving these three phases of care

The programs and strategies for improving heart failure care that were identified in peer-reviewed literature, and through reports from QIOs or other state or national organizations are summarized by category below (the numbers after each program or strategy refer to the references that follow). Table 1 includes additional information about these programs/ strategies, sponsoring organizations, program details and results, and the extent to which they included CAHs and other small rural hospitals.

# *QI Programs/Strategies focused on providing reliable evidence-based medical care in hospitals*

- Identification of inpatient and outpatient heart failure patients at risk through disease management registries, and which trigger alerts and reminders on admission that the patient has heart failure and requires automatic implementation of standard orders.<sup>14,15,17-19,27-29,</sup> 33,35-37,45
- Support for physician/nursing/pharmacy champions such as providing pocket cards with heart failure evidence-based intervention for staff to carry for effective staff education regarding heart failure interventions.<sup>14,16,17-19,22,24,45</sup>
- Concurrent review process to identify patients who have not received all the evidence-based care and provides real-time performance feedback to staff.<sup>15,28,29,45</sup>
- Use of healthcare coordinators to ensure effective and consistent communication within the care team.<sup>19,28,32,34,38</sup>

# *QI Programs/Strategies focused on engaging heart failure patients and their families*

- Use evidence-based techniques across the continuum to engage patients and families in self-management support.<sup>18,20,23,28,32,38,40</sup>
- Give concerns of the patient and family top priority for assessment and teaching objectives by assessing patient and caregiver learning styles and needs.<sup>28,38,45</sup>
- Standard heart failure patient education materials designed according to plain-language standards and coordinated across disciplines and settings.<sup>18-20,28,38,43-45</sup>
- Self-care management monitoring (real-time data feedback).<sup>27,31,35,41</sup>

## QI Programs/Strategies focused on creating reliable processes that ensure a proper handoff for continuing care at home

- Begin discharge process upon admission identify the heart failure patient's primary care physician and establish contact; begin assessment of patient needs; begin education process with patient and family, include standard medication forms and uniform discharge instructions.<sup>14,17,18-20,28,29,39</sup>
- Establish/strengthen partnerships with primary care physicians, home health agencies, and local pharmacies to ensure standard messages. Use care management team to coordinate care and facilitate handoffs.<sup>14,17,18,22-24,26,29,30,32,39,45</sup>
- Assure seamless handoff that includes realtime communication, outpatient appointment, or formal contact within 48 hours.<sup>28,29,34,39</sup>

# Conclusions

While few articles in the peer-reviewed literature have evaluated the effectiveness of heart failure QI programs specifically for CAHs, several QI programs and strategies have been shown to improve heart failure care in hospitals and are potentially replicable in CAHs from admission through postdischarge.

# How can State Flex Programs help CAHs?

State Flex programs can assist CAHs in improving outcomes for heart failure measures by:

- Encouraging CAHs to use the evidence-based programs, tools and resources highlighted in this policy brief;
- Providing technical assistance and support to assist CAHs in implementing evidence-based QI activities;
- Encouraging CAHs to benchmark their performance against other CAHs;
- Fostering collaborative relationships between CAHs and QIOs; and
- Encouraging CAHs to participate in MBQIP, Partnership for Patients and other quality and patient safety initiatives.

# TOOLS AND RESOURCES

# Early identification and education of heart failure admissions

The 5 Million Lives Getting Started Kit: Congestive Heart Failure Supplement for Rural Hospitals provides processes to improve early identification of CHF patients, which prompts appropriate care orders, self-care information on admission and care management post-discharge. http://www.ihi.org/IHI/Programs/Campaign/CHF.htm

# Tools for safe care at the bedside

Launched in 2003, Transforming Care at the Bedside (TCAB) is a national program of the Robert Wood Johnson Foundation (RWJF) and the Institute for Healthcare Improvement (IHI). TCAB is not a traditional quality improvement program; one primary characteristic that sets it apart is its focus on engaging front-line staff and unit managers to develop innovations and exemplary care models on medical and surgical units to dramatically improve patient outcomes. The TCAB How-to Guide aims to "create an ideal transition home" and highlights 1) four key components of an ideal transition home and specifies individual changes that can be tested; 2) a practical step-by-step sequence of activities to assist staff in testing and adapting many of the proposed changes described in 1); and 3) includes tools, resources, practical "real-world" tips, examples from hospitals, and case studies of hospitals that have implemented many of the changes proposed in this guide. Currently, hundreds of hospitals across the US and internationally are implementing TCAB strategies and changes on medical and surgical units. "Transforming Care at the Bedside How-to Guide: Creating an Ideal Transition Home for Patients with Heart Failure. IHI, 2008 http://www.ihi.org

## Tools for a safe transition home

AHRQ's "Transition Home Program Reduces Readmissions for Heart Failure" incorporates a number of components to ensure patients a safe transition to home or another health care setting, including enhanced assessment of post discharge needs at admission, thorough patient and caregiver education, patient-centered communication with subsequent caregivers at handoffs, and a standardized process for post acute care follow up. http://www.innovations. ahrq.gov/content.aspx?id=2206

## Follow-up tools to prevent heart failure readmission

The American Heart Association – Heart Failure Fact Sheet: 30-Day Measures. Get With The Guidelines collaborative quality improvement program provides hospitals with a Webbased Patient Management Tool<sup>™</sup> (powered by Outcome Sciences, Inc.), decision support, robust registry, real-time benchmarking capabilities and other performance improvement methodologies toward the goal of enhancing patient outcomes and saving lives. The tool includes a 30-day form from the AHA that allows hospitals to capture patient data (such as mortality, re-hospitalization, follow-up visits, medication adherence, rehabilitation, patient education, etc.) in the 30-day period after hospitalization.

http://www.heart.org/idc/groups/heart-pubLic/@wcm/@private/@hcm/@gwtg/documents/ downloadable/ucm\_310967.pdf

# American Health Quality Association. State Quality Improvement Efforts.

This site describes State Quality Improvement Efforts implemented by Quality Improvement Organizations (QIOs) to help providers across the country adopt best practices. http://www.ahqa.org/pub/quality/161\_1101\_5339.cfm?CFID=107198988&CFTOKEN=913384 21

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## **Additional Information**

This policy brief is available at www.flexmonitoring.org. For more information about this study, please contact Walt Gregg gregg006@umn.edu

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| Table 1: Evidence-Based Heart Failure QI Programs/Strategies   |  |   |  |   |                   |  |  |  |
|--|--|---|--|---|-------------------|--|--|--|
| Measures<br>Addressed/<br>Strategies Used  | Sponsoring<br>Organizations  | Program Description   | Results  | Applicability for<br>CAHs/small rural<br>hospitals  | Citations         |  |  |  |
| <u>Measures</u><br><u>addressed:</u><br>Assessment of LVF<br>discharge<br>instructions<br><u>Strategies used:</u><br>Multidisciplinary<br>teams and rapid<br>cycle improvement<br>techniques | CMS Health<br>Care Quality<br>Improvement<br>Program and<br>Stratis Health<br>(Minnesota<br>QIO) and<br>Minnesota State<br>Flex Program                    | Used multi- disciplinary hospital<br>teams composed of a physician, a<br>nurse, a pharmacist, and senior<br>management sponsor to implement<br>rapid cycle improvement tools and<br>measurement methodologies for<br>heart failure and atrial fibrillation<br>(AF) patients.  | In 2001 – 2002 participating<br>hospitals showed aggregate<br>improvement in the assessment<br>of left ventricular function by<br>50%, and a 75% improvement in<br>patient education at discharge.<br>50% of the hospitals showed<br>improvement in one or both areas<br>(heart failure and AF).     | Strategy was designed<br>for CAHs. During 2001-<br>2004 the QIO worked<br>with 32 CAHs in<br>Minnesota.                                     | AHQA <sup>8</sup> |  |  |  |
| Measures<br>addressed:<br>ACEI<br>administration,<br>discharge<br>instructions<br>Strategies used:<br>Protocols, orders,<br>enhanced<br>communication,<br>collaboration.                     | CMS Health<br>Care Quality<br>Improvement<br>Program, the<br>Iowa<br>Foundation for<br>Medical Care<br>(QIO), and Fort<br>Madison<br>Community<br>Hospital | Fort Madison Hospital began by<br>looking at discharge instructions<br>provided to heart patients. Case<br>managers developed protocols and<br>orders to enhance communication<br>and collaboration among team<br>members, emphasize resource<br>utilization, and emphasize education<br>of the patient and family. | During 2000 – 2001 the<br>proportion of heart failure<br>patients receiving discharge<br>instructions was 50%. By 2001 –<br>2002 the proportion of heart<br>failure patients increased to 95%.<br>Provision of ACE inhibitors for<br>heart failure improved from 58%<br>to 98% over the same period. | Fort Madison Community<br>Hospital is a 50 bed rural<br>hospital. The strategy<br>should be adaptable to<br>smaller facilities and<br>CAHs. | AHQA <sup>8</sup> |  |  |  |



 
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|--|--|--|--|---|--|--|--|
| Measures<br>Addressed/   | Sponsoring   |  |  | Applicability for<br>CAHs/small rural                     |  |  |  |
| Strategies Used  | Organizations  | Program Description  | Results  | hospitals   | Citations  |  |  |
| Measures<br>addressed:<br>ACEI<br>administration,<br>LVF assessment,<br>Discharge<br>Instructions<br>Strategies used:<br>Provider support<br>and tools,<br>information<br>systems tracking | Intermountain<br>Healthcare and<br>University of<br>Utah School of<br>Medicine | <ul> <li>Joint Commission heart failure<br/>measures were implemented in 20-<br/>hospital health care system. A total<br/>of 2958 discharged heart failure<br/>patients were included in the<br/>study.</li> <li>Nurse case managers were<br/>employed to ensure that providers<br/>complied with core measures<br/>before patient discharge and to<br/>contact patients twice in the first<br/>month post-discharge to reinforce<br/>self-care instructions</li> <li>Implementation efforts included<br/>provider education/support,<br/>patient education, and information<br/>systems for data tracking.<br/>Standardized educational tools for<br/>provider/ patients were developed<br/>by a multidisciplinary heart failure<br/>team with liaison teams in each<br/>hospital to oversee the local<br/>process.</li> <li>MAWDS stands for <ul> <li>Take your Medications</li> <li>Stay Active each day</li> <li>Weigh yourself each day</li> <li>Follow your Diet and</li> <li>Recognize your Symptoms</li> </ul> </li> </ul> | One-year survival benefits were<br>seen in an item-by-item<br>evaluation of heart failure<br>measures for angiotensin-<br>converting enzyme<br>inhibitor/angiotensin receptor<br>blocker therapy (hazard ratio<br>[HR] = 0.69), left ventricular<br>function assessment (HR = 0.83),<br>and patient education (HR =<br>0.79). When assessed collectively,<br>improved survival was seen<br>among patients eligible for two<br>(HR = 0.53), three (HR = 0.36), or<br>four heart failure measures (HR =<br>0.65). An incremental<br>relationship was found between<br>the degree of adherence and<br>survival (p =.008).<br>Adherence to heart failure core<br>guidelines is associated with<br>improved 1-year survival after<br>heart failure hospitalization. | Five of the twenty<br>hospitals in the study are<br>CAHs. | Kfoury, A. et. al.,<br>2008 <sup>14</sup><br>For additional<br>information (self-<br>management with<br>MAWDS a quick<br>reference for heart<br>failure patients)<br>https://intermount<br>ainhealthcare.org/<br>ext/Dcmnt?ncid=5<br>1061748 |  |  |



A Performance Monitoring Resource for Critical Access Hospitals, States, and Communities

 
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| Table 1: Evidence-Based Heart Failure QI Programs/Strategies |                 |  |                                 |                            |                   |  |  |  |  |
|--|-----------------|--|---------------------------------|----------------------------|-------------------|--|--|--|--|
| Measures   |                 |  |                                 | Applicability for          |                   |  |  |  |  |
| Addressed/   | Sponsoring      |  |                                 | CAHs/small rural           |                   |  |  |  |  |
| Strategies Used  | Organizations   | Program Description                                | Results                         | hospitals                  | Citations         |  |  |  |  |
| <u>Measures</u>  | CMS Health      | <ul> <li>The QIO provided QI support to</li> </ul> | Proportion of heart failure     | Eunice Community           | AHQA <sup>8</sup> |  |  |  |  |
| addressed:   | Care Quality    | Eunice Community Memorial                          | patients receiving discharge    | Hospital is a 90 bed rural |                   |  |  |  |  |
| Discharge  | Improvement     | Hospital by providing pre-existing                 | instructions increased from 20% | facility                   |                   |  |  |  |  |
| instructions   | Program and     | protocols, and encouraging                         | to 62.5% in six month period.   |                            |                   |  |  |  |  |
|  | the Louisiana   | hospital unit managers to become                   |                                 |                            |                   |  |  |  |  |
|  | Health Care     | responsible for abstracting records                |                                 |                            |                   |  |  |  |  |
| Strategies used:   | Review (LA-     | and tracking their own progress.                   |                                 |                            |                   |  |  |  |  |
| Protocols,   | QIO) and Eunice | • Hospital leadership took advantage               |                                 |                            |                   |  |  |  |  |
| measurement,   | Community       | of resources offered through the                   |                                 |                            |                   |  |  |  |  |
| altered  | Medical Center  | QIO such as pre-existing protocols,                |                                 |                            |                   |  |  |  |  |
| accountability   |                 | and adopted the philosophy of                      |                                 |                            |                   |  |  |  |  |
|  |                 | accountability promotion. The unit                 |                                 |                            |                   |  |  |  |  |
|  |                 | managers became responsible for                    |                                 |                            |                   |  |  |  |  |
|  |                 | abstracting records and tracking                   |                                 |                            |                   |  |  |  |  |
|  |                 | their own progress over time.                      |                                 |                            |                   |  |  |  |  |



 
 Flex Monitoring Team
 University of Minnesota University of North Carolina at Chapel Hill University of Southern Maine

| Table 1: Evidence-Based Heart Failure QI Programs/Strategies |                 |   |  |                            |                                |  |  |  |
|--|-----------------|---|--|----------------------------|--------------------------------|--|--|--|
| Measures   |                 |   |  | Applicability for          |                                |  |  |  |
| Addressed/   | Sponsoring      |   |  | CAHs/small rural           |                                |  |  |  |
| Strategies Used  | Organizations   | Program Description                               | Results  | hospitals                  | Citations                      |  |  |  |
| <u>Measures</u>  | CMS Health      | <ul> <li>During 2005 – 2008, technical</li> </ul> | <ul> <li>During a one year period</li> </ul>     | Rush Memorial Hospital     | Pagan-Sutton, J.,              |  |  |  |
| addressed:   | Care Quality    | assistance was provided to CAHs to                | between 2006 and 2007 Rush                       | is a 25 bed CAH. Strategy  | Silver, L., and                |  |  |  |
| Discharge  | Improvement     | help them utilize the AHA patient                 | Memorial Hospital realized the                   | is replicable and could be | Gupta, J. , 2009 <sup>15</sup> |  |  |  |
| instructions,  | Program and     | management tool "Get with the                     | following achievements:                          | used by other state QIOs   |                                |  |  |  |
| smoking cessation,   | Health Care     | Guidelines," which was purchased                  | <ul> <li>The proportion of heart</li> </ul>      | to work with CAHs in       |                                |  |  |  |
| ACEI   | Excel (IN-QIO), | with state grant funds. Rush                      | failure patients discharged                      | their state(s).            |                                |  |  |  |
| administration   | Indiana State   | Memorial Hospital received                        | with written instructions                        | Successfully               |                                |  |  |  |
|  | Office of Rural | support in developing pathways,                   | improved from 20% to 80%;                        | implemented in 10 CAHs.    |                                |  |  |  |
|  | Health, Indiana | discharge instructions, and                       | <ul> <li>Heart failure patients</li> </ul>       |                            |                                |  |  |  |
| Strategies used:   | Chapter of      | educational materials including                   | receiving smoking cessation                      |                            |                                |  |  |  |
| Process  | American Heart  | guideline reminders on identified                 | counseling increased from                        |                            |                                |  |  |  |
| management, data   | Association,    | HF patients. Assistance, provided                 | 40% to 100%; and                                 |                            |                                |  |  |  |
| collection and   | Indiana Rural   | primarily through weekly                          | <ul> <li>Heart failure patients (with</li> </ul> |                            |                                |  |  |  |
| measurement, staff   | Health          | conference calls and emails,                      | LV ejection fraction less the                    |                            |                                |  |  |  |
| reminders to follow  | Association and | supported the leadership of the                   | 40%) receiving angiotensin                       |                            |                                |  |  |  |
| heart failure  | Rush Memorial   | emergency room director and                       | converting enzyme inhibitor                      |                            |                                |  |  |  |
| guidelines   | Hospital (CAH)  | medical surgical manager in                       | increased from 75% to                            |                            |                                |  |  |  |
|  |                 | developing the in-house initiative.               | 100%.  |                            |                                |  |  |  |



 
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| Table 1: Evidence-Based Heart Failure QI Programs/Strategies  |  |  |   |  |   |  |  |  |
|---|--|--|---|--|---|--|--|--|
| Measures<br>Addressed/<br>Strategies Used   | Sponsoring<br>Organizations  | Program Description  | Results   | Applicability for<br>CAHs/small rural<br>hospitals   | Citations   |  |  |  |
| <u>Measures</u><br><u>addressed:</u><br>All heart failure<br>measures<br><u>Strategies used:</u><br>"Get With the<br>Guidelines - Heart<br>Failure" | American Heart<br>Association "Get<br>With the<br>Guidelines -<br>Heart Failure" | <ul> <li>A voluntary quality initiative that<br/>uses a Web-based Patient<br/>Management Tool (PMT, Outcomes<br/>Sciences Inc., Cambridge, MA) to<br/>collect clinical data, provides<br/>decision support, and provides<br/>real-time online reporting features.<br/>The PMT is intended for point-of-<br/>care and/or chart-review data<br/>collection by trained personnel and<br/>uses standardized data elements.</li> <li>According to Peterson, et. al., the<br/>GWTG-heart failure risk score can<br/>easily be calculated at the bedside<br/>and for hospitals participating in<br/>the GWTG-heart failure program;<br/>individual prediction of risk of in-<br/>hospital death is automatically<br/>calculated when admission data is<br/>entered into the GWTG tool.</li> </ul> | • In one study involving 198<br>hospitals and cohort of 39,783<br>patients, GWTG – heart failure<br>was identified as a validated<br>tool for risk stratification that is<br>applicable to a broad spectrum<br>of patients with heart failure,<br>including those with preserved<br>left ventricular systolic function. | • GWTG-heart failure is<br>an appropriate<br>program for small rural<br>hospitals and has<br>demonstrated value for<br>improving patient<br>quality of care and<br>outcomes. | Peterson, P. et. al.,<br>2010 <sup>21</sup><br>A GWTG tool box<br>designed to help<br>hospitals<br>implement the<br>guidelines is<br>available at<br>http://www.heart.<br>org/HEARTORG/H<br>ealthcareResearch/<br>GetWithTheGuidelin<br>nesheart<br>failureStroke/Get<br>WithTheGuidelines<br>HeartFailureHome<br>Page/Get-With-<br>The-Guidelines-<br>Heart-Failure-<br>Toolbox UCM 307<br>815_Article.jsp |  |  |  |



| Table 1: Evidence-Based Heart Failure QI Programs/Strategies   |   |  |   |  |  |  |  |
|--|---|--|---|--|--|--|--|
| Measures<br>Addressed/<br>Strategies Used  | Sponsoring<br>Organizations   | Program Description  | Results   | Applicability for<br>CAHs/small rural<br>hospitals   | Citations  |  |  |
| <u>Measures</u><br><u>addressed:</u><br>All heart failure<br>measures<br><u>Strategies used:</u><br>Best practice<br>resource tools and<br>staff feedback        | Aurora St.<br>Luke's Medical<br>Center,<br>Milwaukee, WI  | <ul> <li>By using heart failure best practice resource tools and positive feedback for learning opportunities, caregivers have been more consistent with the heart failure care and documentation.</li> <li>When core measures are not met, the appropriate staff (MD, RN) is notified via e-mail letters. The nurses receive information specific to non-compliance and an</li> </ul> | • Prior to the use of these tools,<br>the hospital's non-compliance<br>rate for following best practice<br>guidelines was 24% (Apr 08 –<br>June 08). After implementing<br>the resource tools the heart<br>failure guidelines non-<br>compliance rate dropped to<br>6.7% (Apr 09 – June 09).                          | Although Aurora St.<br>Luke's is a large urban<br>hospital, the use of<br>reproducible resource<br>tool sets on heart failure<br>best practice guidelines<br>and positive feedback for<br>staff is appropriate and<br>within the resource<br>constraints of a small<br>hospital setting. | Singer, N., Willman,<br>J., Penzkowski, D. ,<br>2009 <sup>16</sup>       |  |  |
|  |   | educational poster to prevent the compliance failure.  |   |  |  |  |  |
| <u>Measures</u><br><u>addressed:</u><br>Readmission<br>prevention,<br>Discharge<br>instructions<br><u>Strategies used:</u><br>Use of community<br>pharmacists to | University<br>Hospital,<br>London Ontario,<br>University of<br>Western<br>Ontario,<br>University of<br>Toronto, and<br>McMaster<br>University | • A personalized intervention<br>delivered by community<br>pharmacists to discharged patients<br>with heart failure. The pharmacist<br>provided counseling on the<br>patient's medications with<br>emphasis on heart failure and<br>cardiac medications and strategies<br>to enhance compliance.   | <ul> <li>Goal is improvement in<br/>medication compliance in<br/>discharged heart failure<br/>patients. Outcome measures<br/>include: readmissions,<br/>emergency room visits,<br/>mortality due to heart failure.</li> <li>The article describes the study<br/>design; results are not yet<br/>available.</li> </ul> | The impact of including<br>pharmacists in patient<br>care and disease<br>management can be<br>significant. Rural<br>communities with<br>available pharmacists<br>could benefit from their<br>inclusion in patient care<br>teams following  | Rich, M., 1999 <sup>24</sup><br>Bogden, P et. al.,<br>1998 <sup>25</sup> |  |  |
| assist in outpatient<br>management   |   |  |   | discharge.   |  |  |  |





| Table 1: Evidence-Based Heart Failure QI Programs/Strategies   |  |   |   |   |                                   |  |  |  |
|--|--|---|---|---|-----------------------------------|--|--|--|
| Measures<br>Addressed/<br>Strategies Used  | Sponsoring<br>Organizations  | Program Description   | Results   | Applicability for<br>CAHs/small rural<br>hospitals  | Citations                         |  |  |  |
| Measures<br>Addressed:<br>Readmission<br>prevention<br><u>Strategies used:</u><br>Medication<br>counseling | Brody School of<br>Medicine East<br>Carolina<br>University<br>Greenville NC<br>and Pitt County<br>Memorial<br>Hospital,<br>Greenville NC | <ul> <li>Patient counseling and<br/>subsidized medications were<br/>provided to rural under-insured<br/>and low income discharged heart<br/>failure patients. Patients<br/>contracted to meet monthly with<br/>a clinical pharmacist for<br/>medication counseling,<br/>assessment of adherence and<br/>understanding of prescribed<br/>medication regimen, and<br/>provision of required<br/>medications.</li> </ul> | <ul> <li>After a 12 month intervention<br/>period with 28 previously<br/>readmitted patients:</li> <li>Readmits went from 22 to 1</li> <li>Total hospital costs went<br/>from \$149,148 to \$3,164</li> <li>Total cost per patient went<br/>from \$5,326 to \$2,645<br/>(includes costs for providing<br/>medications)</li> <li>Improved Beta blocker usage<br/>from 93% to 96%</li> <li>Improved Angiotensin<br/>antagonist use from 89% to<br/>100%</li> <li>Improved Aldosterone<br/>antagonist use from 32% to<br/>68%</li> </ul> | Depending on the<br>availability of clinical<br>pharmacists, CAHs when<br>enrolled in a medication<br>subsidy program (340B)<br>may be able to provide<br>medications at low or no<br>cost and reduce<br>readmissions in heart<br>failure patients. | Mayo et. al. , 2006 <sup>22</sup> |  |  |  |



| Table 1: Evidence-Based Heart Failure QI Programs/Strategies |                 |  |                                 |                         |                     |  |  |  |
|--|-----------------|--|---------------------------------|-------------------------|---------------------|--|--|--|
| Measures   |                 |  |                                 | Applicability for       |                     |  |  |  |
| Addressed/   | Sponsoring      |  |                                 | CAHs/small rural        |                     |  |  |  |
| Strategies Used  | Organizations   | Program Description                          | Results                         | hospitals               | Citations           |  |  |  |
| <u>Measures</u>  | Institute for   | <ul> <li>Systems management began</li> </ul> | • Over the period of one year,  | Cleveland Regional is a | Howell, N., and     |  |  |  |
| addressed:   | Healthcare      | with clinical benchmarking and               | the hospital's readmission      | 233 bed rural hospital. | Kniceley, C. 200744 |  |  |  |
| Readmission  | Improvement 5   | instituted rapid cycle                       | rate for heart failure patients | Systems improvement,    |                     |  |  |  |
| prevention,  | Million Lives   | performance improvement                      | declined by 37%, its heart      | assessment and feedback |                     |  |  |  |
| discharge  | Campaign and    | which was focused on staff                   | failure mortality rate          | are replicable in small |                     |  |  |  |
| instructions   | Cleveland       | education chart review, provider             | decreased by 25%, and the       | rural hospitals.        |                     |  |  |  |
|  | Regional        | feedback and performance                     | proportion of heart failure     |                         |                     |  |  |  |
|  | Medical Center, | improvement opportunities.                   | patients receiving              |                         |                     |  |  |  |
| Strategies used:   | NC              | A CHF survival kit used at                   | appropriate discharge           |                         |                     |  |  |  |
| Staff education on   |                 | admission assessed the level of              | instructions increased to       |                         |                     |  |  |  |
| home management,   |                 | patient understanding                        | 96%.                            |                         |                     |  |  |  |
| rapid cycle  |                 | - 0  |                                 |                         |                     |  |  |  |
| improvement,   |                 |  |                                 |                         |                     |  |  |  |
| chart reminder   |                 |  |                                 |                         |                     |  |  |  |



| Table 1: Evidence-Based Heart Failure QI Programs/Strategies |                 |  |   |                          |                         |  |  |  |
|--|-----------------|--|---|--------------------------|-------------------------|--|--|--|
| Measures   |                 |  |   | Applicability for        |                         |  |  |  |
| Addressed/   | Sponsoring      |  |   | CAHs/small rural         |                         |  |  |  |
| Strategies Used  | Organizations   | Program Description                                    | Results   | hospitals                | Citations               |  |  |  |
| <u>Measures</u>  | Berkshire       | <ul> <li>Use of multidisciplinary teams to</li> </ul>  | <ul> <li>The hospital received the AHA</li> </ul> | Berkshire Medical Center | AHA, 2005 <sup>23</sup> |  |  |  |
| <u>addressed:</u>  | Medical Center, | implement GWTG modules.                                | Performance Achievement                           | is a rural 300 bed       |                         |  |  |  |
| Discharge  | AHA, ASA        | • Three techniques contributed to                      | Award, sustaining 85% or                          | community hospital.      |                         |  |  |  |
| instructions   | GWTG            | their success: 1) identification and                   | above adherence to                                |                          |                         |  |  |  |
|  |                 | nurturing of leadership in all                         | performance measures for heart                    | Multidisciplinary teams  |                         |  |  |  |
| Strategies used:   |                 | disciplines, 2) fostering a culture                    | failure.  | are common practice in   |                         |  |  |  |
| Leadership   |                 | that promotes a passion for                            |   | CAHs. These can be       |                         |  |  |  |
| development, tools   |                 | perfection for every patient, and 3)                   |   | implemented in small     |                         |  |  |  |
| such as checklists   |                 | development of a system of tools                       |   | rural hospitals.         |                         |  |  |  |
| and order sets, and  |                 | such as checklists, order sets, and                    |   |                          |                         |  |  |  |
| multidisciplinary  |                 | electronic medical records and                         |   |                          |                         |  |  |  |
| staff rounds   |                 | redundancy within these systems.                       |   |                          |                         |  |  |  |
|  |                 | <ul> <li>Multidisciplinary rounds consisted</li> </ul> |   |                          |                         |  |  |  |
|  |                 | of house staff, hospitalists, nursing                  |   |                          |                         |  |  |  |
|  |                 | from all floors, quality                               |   |                          |                         |  |  |  |
|  |                 | improvement, pharmacy, case                            |   |                          |                         |  |  |  |
|  |                 | management, diabetes counseling                        |   |                          |                         |  |  |  |
|  |                 | and other clinical disciplines                         |   |                          |                         |  |  |  |
|  |                 | meeting each day to review each                        |   |                          |                         |  |  |  |
|  |                 | patient's care.  |   |                          |                         |  |  |  |



| Table 1: Evidence-Based Heart Failure QI Programs/Strategies |                                |                                       |   |                           |                   |  |  |  |
|--|--------------------------------|---------------------------------------|---|---------------------------|-------------------|--|--|--|
| Measures   | G                              |                                       |   | Applicability for         |                   |  |  |  |
| Addressed/   | Sponsoring                     | Drogram Description                   | Populta   | CAHS/Small rural          | Citations         |  |  |  |
| Mongurog   | Diganizations<br>Davlor Health | • The "Accelerating Post Care" Of     | • Hagaltan Canaval Hagaital haart                             | The bognital is rural but | Androwa & Valento |  |  |  |
| <u>Measures</u>  | Care System                    | • The Accelerating Best Care QI       | Hazeiton General Hospital neart     foilung non-dmission nets | much larger than CAU      |                   |  |  |  |
| Dischargo  | Toyac: Jofforson               | Paylor was implemented in two         | declined from 14 10/ to 7 70/                                 | (150 bods) The tools and  | 2000.10           |  |  |  |
| Instructions   | Modical Collogo                | Baylor was implemented in two         | during the intervention period                                | processes used would be   |                   |  |  |  |
| instituctions  | Pennsylvania                   | collaboration with lefferson          | during the intervention period.                               | applicable to smaller     |                   |  |  |  |
| Strategies used:   | i chiisyivama                  | Medical College                       |   | hospitals                 |                   |  |  |  |
| Alert forms.   |                                | • The 6 month training included       |   | nospitais.                |                   |  |  |  |
| reminder memos.  |                                | methods for rapid-cycle               |   |                           |                   |  |  |  |
| staff education,   |                                | improvement: data system design       |   |                           |                   |  |  |  |
| new policies   |                                | and management; tools to improve      |   |                           |                   |  |  |  |
| regarding  |                                | patient processes and outcomes of     |   |                           |                   |  |  |  |
| medication profiles  |                                | care; use of clinical guidelines and  |   |                           |                   |  |  |  |
|  |                                | protocols.                            |   |                           |                   |  |  |  |
|  |                                | • CHF project included identification |   |                           |                   |  |  |  |
|  |                                | of HF patients, their discharge       |   |                           |                   |  |  |  |
|  |                                | instruction needs, their pharmacy     |   |                           |                   |  |  |  |
|  |                                | needs, and the medical staff's        |   |                           |                   |  |  |  |
|  |                                | responsibilities. Findings were       |   |                           |                   |  |  |  |
|  |                                | shared with unit managers.            |   |                           |                   |  |  |  |



| Table 1: Evidence-Based Heart Failure QI Programs/Strategies  |   |   |   |   |                                       |  |  |  |
|---|---|---|---|---|---------------------------------------|--|--|--|
| Measures<br>Addressed/<br>Strategies Used   | Sponsoring<br>Organizations   | Program Description   | Results   | Applicability for<br>CAHs/small rural<br>hospitals  | Citations                             |  |  |  |
| Measures<br>addressed:<br>Discharge<br>Instructions,<br>ACEI/ARB use, beta<br>blocker use at<br>discharge, heart<br>failure appropriate<br>care measure<br>Strategies Used<br>Disease-specific<br>check lists at<br>discharge, on-<br>screen reminders<br>using GWTG<br>patient<br>management tool,<br>physician learning<br>tools, conferences<br>and list serve to<br>share tools and<br>lessons learned. | Virginia Health<br>Quality Center<br>(QIO), American<br>Heart<br>Association,<br>American<br>College of<br>Cardiology | <ul> <li>Physician champions were recruited at each hospital.</li> <li>Hospitals were encouraged to use a checklist called a discharge contract, to participate in the GWTG program and use the GWTG patient management tool.</li> <li>Monthly teleconferences and face to face learning sessions were based on the IHI collaborative methods.</li> </ul> | <ul> <li>Hospital participants had a<br/>higher rate of improvement in<br/>discharge instructions,<br/>ACEI/ARB use and beta-blocker<br/>use compared to non-<br/>participants</li> </ul> | <ul> <li>29 hospitals in VA<br/>participated, 5 of these<br/>were rural hospitals<br/>but only 2 had fewer<br/>than 100 beds.</li> <li>Strategies used would<br/>be applicable for CAHs.</li> </ul> | Brush et. al.,<br>2009. <sup>47</sup> |  |  |  |





| Table 1: Evidence-Based Heart Failure QI Programs/Strategies |                |  |   |  |                    |  |  |  |  |
|--|----------------|--|---|--|--------------------|--|--|--|--|
| Measures   |                |  |   | Applicability for                      |                    |  |  |  |  |
| Addressed/   | Sponsoring     |  |   | CAHs/small rural                       |                    |  |  |  |  |
| Strategies Used  | Organizations  | Program Description                              | Results   | hospitals                              | Citations          |  |  |  |  |
| <u>Measures</u>  | Institute for  | • A hospital randomized controlled               | <ul> <li>No significant differences were</li> </ul> | <ul> <li>47 rural and small</li> </ul> | Filardo et. al.,   |  |  |  |  |
| addressed:   | Health         | trial that evaluated the                         | observed between the study                          | community Texas                        | 200842             |  |  |  |  |
| All heart failure  | Care Research  | effectiveness of a rapid-cycle                   | group and the control group.                        | hospitals; hospitals had               |                    |  |  |  |  |
| process measures   | and            | clinical care process educational                | The authors suggest that a                          | to be located in a                     | Filardo et. al.,   |  |  |  |  |
|  | Improvement at | program on tools and techniques                  | twelve-month period for follow-                     | county in Texas with a                 | 2009 <sup>43</sup> |  |  |  |  |
| Strategies used:   | Baylor Health  | for implementing and evaluating QI               | up may not have been long                           | population of <10,000                  |                    |  |  |  |  |
| Benchmarking tool,   | Care System    | initiatives.                                     | enough to detect meaningful                         | or designated as a CAH                 |                    |  |  |  |  |
| rapid cycle  | (Funded by     | <ul> <li>Hospitals were randomized to</li> </ul> | changes in organizational                           | as of 2004.                            |                    |  |  |  |  |
| educational  | AHRQ).         | receive: 1) a Web-based                          | culture. A second follow-up                         |  |                    |  |  |  |  |
| intervention   |                | benchmarking tool only or 2) the                 | evaluation is scheduled at year                     |  |                    |  |  |  |  |
|  |                | tool plus a rapid-cycle educational              | two.  |  |                    |  |  |  |  |
|  |                | intervention covering the basic                  |   |  |                    |  |  |  |  |
|  |                | techniques of designing,                         |   |  |                    |  |  |  |  |
|  |                | implementing, and monitoring a QI                |   |  |                    |  |  |  |  |
|  |                | initiative.                                      |   |  |                    |  |  |  |  |

